



FINRA

Financial Industry Regulatory Authority (FINRA)
1735 K St NW, United States
Washington

21th August 2024

CERTIFICATION OF REGISTRATION AND REGULATION

To Whom It May Concern,

This document certifies that **PARXTRADE**, located at **675 W 59TH ST, SUITE 1010 NEW YORK, NY 10019 UNITED STATES**, is a registered member of the Financial Industry Regulatory Authority (FINRA) and is authorized to operate as a Wealth Management Agency.

As a FINRA-registered entity, **PARXTRADE** is subject to comprehensive oversight to ensure compliance with:

- FINRA By-Laws and Membership Rules
- Federal securities laws and regulations
- FINRA Rules governing communication, supervision, and investor protection

Membership in FINRA requires **PARXTRADE** to adhere to rigorous standards of integrity, financial responsibility, and operational capability to promote the safety, fairness, and confidence of the investing public.

This certification affirms that **PARXTRADE** is in good standing with FINRA as of the date of this letter and remains compliant with all applicable rules and regulations governing its activities.

Sincerely,

 Signed by

Robert W. Cook
President & CEO

Financial Industry Regulatory Authority (FINRA)





Securities Investor Protection Corporation (SIPC)
1667 K St. N.W., Suite 1000
Washington, D.C. 20006-1620

21th August 2024

CERTIFICATE OF SIPC MEMBERSHIP

To Whom It May Concern,

This is to certify that **PARXTRADE**, located at **675 W 59TH ST, SUITE 1010 NEW YORK, NY 10019 UNITED STATES**, is a member in good standing of the **Securities Investor Protection Corporation (SIPC)**. This membership is granted pursuant to the provisions of the **Securities Investor Protection Act of 1970 (SIPA)** and is maintained in accordance with all applicable rules and regulations.

As an SIPC member, **PARXTRADE** is committed to protecting the interests of its customers. In accordance with SIPA, customer accounts are safeguarded in the event of the firm's insolvency, with coverage provided as follows:

- Protection of up to \$500,000 per customer, including a maximum of \$250,000 for cash claims.
- Coverage subject to the terms, conditions, and limitations established under SIPA.

This certification affirms that **PARXTRADE** is authorized to operate as a member firm of SIPC as of the date indicated. Membership reflects the firm's adherence to the principles of investor protection and its obligation to maintain the integrity and security of customer assets.

Sincerely,



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William S. Jasien
President & CEO
Securities Investor Protection Corporation (SIPC)

